

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

Wayne G. Renken

Title:

Process Condition Sensing Wafer And Data Analysis System

Application No.:

10/685,550

Filing Date:

October 14, 2003

Examiner:

Charles D. Garber

Group Art Unit:

2856

Docket No.:

SENS.005US1

Conf. No.:

4924

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on _______ June 22, 2004______

Signature

Transmigno &

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application..

This application has a filing date after June 30, 2003. Copies of the U.S. Patent(s) and U.S. Published Patent Application(s) documents listed on the accompanying Form PTO-1449 are not enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited

Attorney Docket No.: SENS.005US1

Application No.: 10/685,550

document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

Respectfully submitted,

Peter A. Gallagher

Reg. No. 47,584

June 22, 2004

Date

PARSONS HSUE & DE RUNTZ LLP 655 Montgomery Street, Suite 1800 San Francisco, CA 94111 (415) 318-1160 (main) (415)318-1167 (direct) (415) 693-0194 (fax)

	· · · · · · · · · · · · · · · · · · ·	······································				Sheet 1 of	
U.S. Department of Commerce, Patent and Trademark				Atty. Docket No.			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary) JUN 2 4 2004				SENS.005US1			
				Applicant(s)			
				Wayne G. Renken			
				Filing Date			
U.S. Pa			October 14, 2003	2856			
, in		U.S. P	atent Documents				
	Document	D-4-	N	<u> </u>	2.1	Filing Date	
1		 	 	Class	Subclass	If Appropriate	
 		 	·				
+		 		•			
+		<u> </u>					
	 				· · · · · · · · · · · · · · · · · · ·		
 	 	ļ					
 						·	
+	<u> </u>					-	
 	 	 					
1					· '		
<u> </u>	<u> </u>	+				· ·	
	ļ	 					
		 					
+	ļ						
 	 			-			
 	· · · · · · · · · · · · · · · · · · ·						
 							
 							
18	<u> </u>	1					
		.S. Published Pa	tent Application Docu	ments			
	Number Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
19	2001/0014520	Aug. 16, 2001	Usui et al.				
20	2002/0109590	Aug. 15, 2002	Parsons				
21 .	2002/0161557	Oct. 31, 2002	Freed			·	
22	2002/0172097	Nov. 21, 2002	Freed				
23	2002/0177916	Nov. 28, 2002	Poolla				
24	2002/0177917	Nov. 28, 2002	Poolla		· · ·		
25	2002//0193957	Dec. 19, 2002	Freed				
	ī	ı				i	
	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24	Document Number	ATION DISCLOSURE STATEMENT BY APPLICANT U.S. P Document Number Date RE.32,369 Mar. 10, 1987 2 5,262,944 Nov. 16, 1993 3 5,435,646 Jul. 25, 1995 4 5,444,637 Aug. 22, 1995 5 5,564,889 Oct. 15, 1996 6 5,969,639 Oct. 19, 1999 7 5,970,313 Oct. 19, 1999 7 5,970,313 Oct. 19, 1999 8 6,010,538 Jan. 4, 2000 9 6,033,922 Mar. 7, 2000 10 6,075,909 Jan. 13, 2000 11 6,100,506 Aug. 8, 2000 12 6,190,040 Feb. 20, 2001 13 6,313,903 Nov. 6, 2001 14 6,325,536 Dec. 4, 2001 15 6,378,378 Apr. 30, 2002 16 6,472,240 Oct. 29, 2002 17 6,542,835 Apr. 1, 2003 18 6,553,277 Apr. 22, 2003 U.S. Published Pa Document Number Date 19 2001/0014520 Aug. 16, 2001 20 2002/0109590 Aug. 15, 2002 21 2002/0161557 Oct. 31, 2002 22 2002/0177916 Nov. 28, 2002 24 2002/0177917 Nov. 28, 2002	ATION DISCLOSURE STATEMENT BY APPLICANT Wayne G. Renken Filing Date October 14, 2003 U.S. Patent Documents Document Number Date Name Name Name RE.32,369 Nar. 10, 1987 Stockton et al. 2 5,262,944 Nov. 16, 1993 Weisner et al. 3 5,435,646 Jul. 25, 1995 McArthur 4 5,444,637 Aug. 22, 1995 Smesny et al. 5 5,564,889 Oct. 15, 1996 Araki 6 5,969,639 Oct. 19, 1999 Lauf et al. 7 5,970,313 Oct. 19, 1999 Rowland et al. 8 6,010,538 Jan. 4, 2000 Sun et al. 9 6,033,922 Mar. 7, 2000 Rowland et al. 10 6,075,909 Jan. 13, 2000 Ressl 11 6,100,506 Aug. 8, 2000 Colelli, Jr. et al. 12 6,190,040 Feb. 20, 2001 Renken et al. 13 6,313,903 Nov. 6, 2001 Ogata 14 6,325,536 Dec. 4, 2001 Renken et al. 15 6,378,378 Apr. 30, 2002 Fisher 16 6,472,240 Oct. 29, 2002 Akram et al. 17 6,542,835 Apr. 1, 2003 Mundt 18 6,553,277 Apr. 22, 2003 Yagisawa et al. U.S. Published Patent Application Docu Document Number Date Name 19 2001/0014520 Aug. 16, 2001 Usui et al. 20 2002/0179990 Aug. 15, 2002 Freed 21 2002/0177917 Nov. 28, 2002 Poolla	SENS.05USI Applicant(s) Wayne G. Renken Filing Date October 14, 2003	ATION DISCLOSURE STATEMENT BY APPLICANT APPLICANT APPLICANT Applicant(s) Wayne G. Renken Filing Date October 14, 2003 U.S. Patent Documents Name Class Subclass 1 RE.32,369 Mar. 10, 1987 Stockton et al. 2 5,262,944 Nov. 16, 1993 Weisner et al. 3 5,435,646 Jul. 25, 1995 McArthur 4 5,444,637 Aug. 22, 1995 Smesny et al. 5 5,564,889 Oct. 15, 1996 Araki 6 5,969,639 Oct. 19, 1999 Lauf et al. 7 5,970,313 Oct. 19, 1999 Rowland et al. 8 6,010,538 Jan. 4, 2000 Sun et al. 9 6,033,922 Mar. 7, 2000 Rowland et al. 10 6,075,909 Jan. 13, 2000 Ressl 11 6,100,506 Aug. 8, 2000 Colelli, Jr. et al. 12 6,190,040 Feb. 20, 2001 Renken et al. 13 6,313,903 Nov. 6, 2001 Ogata 14 6,325,536 Dec. 4, 2001 Renken et al. 15 6,378,378 Apr. 30, 2002 Fisher 16 6,472,240 Oct. 29, 2002 Akram et al. 17 6,542,835 Apr. 1, 2003 Mundt 18 6,553,277 Apr. 22, 2003 Yagisawa et al. U.S. Published Patent Application Documents U.S. Published Patent Application Documents Document Number Date Name Class Subclass 19 2001/0014520 Aug. 16, 2001 Usui et al. 20 2002/0109590 Aug. 15, 2002 Parsons 21 2002/0172097 Nov. 21, 2002 Freed 23 2002/0177916 Nov. 28, 2002 Poolla	

U.S. Department of Commerce, Patent and Trademark			Atty. Docket No.			I A it At NY					
INFORMATION DISCLOSURE STATEMENT BY			SENS.005US1			Application No.					
APPLICANT				Applicant(s)			10/685,550				
(Use several sheets if necessary)				Wayne G. Renken			Conf. No. 4924				
											· · · · · · · · · · · · · · · · · · ·
				October 14, 2003			2856				
			Foreign I	Patent Documents		·					
 -	<u> </u>							lation			
	_	Document	Date	Country	Class	Subclass	Yes	No			
	26	WO00/68986	Nov. 16, 2000	WIPO			Abstract				
	27	EP 1014437 A2	Jun. 28, 2000	Europe							
	28	WO02/17030A2	Feb. 28, 2002	WIPO							
	29	WO02/17030A3	Feb. 28, 2002	WIPO				_			
					<u> </u>						
		·									
		OTHER AR	[(Including Aut)	or, Title, Date, Perti	nent Pages E	itc)	<u> </u>				
	30	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) Prov. Pat. App. No. 60/285,613 filed 4/19/01; Freed et al.; "Firmware, Methods, Apparatus, and Computer Program Products for Wafer Sensors"									
	31	Prov. Pat. App. No. 60/285,439 filed 4/19/01; Freed et al.; "Methods Apparatus, and Computer Program Products for Obtaining Data for Process Operation, Optimization, Monitoring, and Control"									
	32	Freed et al.; "Autonomous On-Wafer Sensors for Process Modeling, Diagnosis, and Control," IEEE Transactions on Semiconductor Manufacturing, Vol. 14, no. 3, Aug. 2001, pp 255-264.									
	33	Freed; "Wafer-Mounted Sensor Arrays for Plasma Etch Processes", Dissertation, Univ. of CA. Berkeley, Fall 2001									
	34	International Search Report, corresponding to PCT/US03/00751, 08/01/2003, 3 pages									
	35	Baker et al.; "A Novel In Situ Monitoring Technique for Reactive Ion Etching Using a Surface Micromachined Sensor," IEEE Transactions on Semiconductor Manufacturing, Vol. 11, No. 2, May 1998, pp. 254-64.									
aminer			Date Considered		_		<u>. </u>				
XAMINER: ation if not in	Initial in conform	f reference considered	d, whether or not o	itation is in conformat y of this form with you	nce with MPE or communica	P 609; Draw li tion to applicar	ne through at.				